IAP13 Rec'd PCT/PTO 25 SEP 2006

FORM PTC	-1390 . U.S.DE	PARTMENT OF COMMERCE PATENT AND TRADEMARK OFFIC	E ATTORNEY'S DOCKET NUMBER							
(REV 10-94	)	09548.1045USWO								
	TRANSMITTAL LETTER	07540.104503WO								
	DESIGNATED/ELECTE									
	CONCERNING A FILIN	U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)								
			10/594100							
INTERN	NATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED							
PCT/CN2005/000226 .		February 25, 2005	March 24, 2004							
TITLE (	FITLE OF INVENTION									
ALGIN OLIGOSACCHARIDES AND THE DERIVATIVES THEREOF AS WELL AS THE MANUFACTURE AND THE USE OF THE SAME (AS AMENDED)										
APPLICANT(S) FOR DO/EO/US										
GENG ET AL.										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
<u>;</u> ) 🖂	This is a FIRST submission of items con	cerning a filing under 35 H S C 371								
2.	This is a SECOND or SUBSEQUENT s	submission of items concerning a filing under 35 t								
3.		amination procedures (35 U.S.C. 371(f)) at any ting								
4.		plicable time limit set in 35 U.S.C. 371(b) and PC ninary Examination was made by the 19th month								
- D										
5. 🛚	A copy of the International Application as filed (35 U.S.C. 371(c)(2))  a.  is transmitted herewith (required only if not transmitted by the International Bureau).									
	b.  has been transmitted by the International Bureau.									
6. 🔲	c.  is not required, as the application was filed in the United States Receiving Office (RO/US)  A translation of the International Application into English (35 U.S.C. 371(c)(2)).									
_										
7.5 🛛	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  a.   are transmitted herewith (required only if not transmitted by the International Bureau).									
t d	b. have been transmitted by the Int	ternational Bureau.	·							
•	<ul> <li>c.  have not been made; however, t</li> <li>d.  have not been made and will no</li> </ul>	he time limit for making such amendments has NO	OT expired.							
8. 🔲	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. 🖂	An unsigned oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).									
$\Box$	_									
10.	(35 U.S.C. 371(c)(5)).	ational Preliminary Examination Report under PC	1 Afficie 36							
	l. to 16. below concern document(s) or in									
]	An Information Disclosure Statement; Fo		220 - 1221 5 5 1							
12. 📙	An assignment document for recording. A	A separate cover sheet in compliance with 37 CFR	3.28 and 3.31 is included.							
13.	A FIRST preliminary amendment. A SECOND of SUBSEQUENT prelimin	ary amendment.								
14. 🔲	A substitute specification.									
15.	A change of power of attorney and/or add	dress letter.								
16. 🛚	Other items or information: Application	Data Sheet (4 pages), 9 sheets of formal drawings	International Publication, Form PCT/ISA/210							

1AP16 Rec'd PCT/PTO 25 SEP 2006

U.S. APPLICATION NO.	(If known, see 37 C.F.R1.5)	ATTORNEY'S DOCKET NUMBER							
10/594100			PCT/CN2005/000226		09548.1045USWO				
BASIC NATION	AL FEE (37 CFR 1.								
a) Basic Nation	nal fee	\$300							
b) Examination				\$200	\$200				
C) Search fee		\$400							
TOTAL OF ABOVE CALCULATIONS =					\$900				
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra Sheets		of each additional set of s, more than 100 sheets						
22 -100 =	/50 =			X \$250	\$0				
	for furnishing the oar		ion later than [] 20 [] 30 FR 1.492(e)).		\$				
.i	CLAIMS (AS A	MENDED)	NUMBER EXTRA	RATE					
Total claims	10	-20 =		X \$50	\$0				
Independent claim	s 2	-3 =		X \$200	\$0				
MULTIPLE DEPE	ENDENT CLAIM(S)	(if applicabl	e)	+ \$360	\$				
		TOTAL	OF ABOVE CALC	ULATIONS =	\$900				
	Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27								
			S	UBTOTAL =	\$450				
	6130 for furnishing tharliest claimed priorit	\$							
		\$450							
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +					\$				
		\$450							
		Amount to be:	\$						
					charged	s			
a. $\triangle$ Check(s) in the amount of \$450 to cover the above fees is enclosed.									
b. Please charge the amount of \$ to cover the required filing fee for a large entity to the credit card listed on the enclosed credit card authorization form.									
c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3478.									
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.									
SEND ALL CORRESPON	DENCE TO:	(/ h	/// l						
Bryan A. Wong Hamre, Schuma	nn, Mueller & Larso	n, P.C.	SIC	GNATURE: TWIVY					
P.O. Box 2902 Minneapolis, M	IN 55402	ME: Bryan A. Wong							
		GISTRATION NUMBER: 50,836							

## UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

GENG et al.

Attorney Docket:

09548.1045USWO

Title:

ALGIN OLIGOSACCHARIDES AND THE DERIVATIVES

THEREOF AS WELL AS THE MANUFACTURE AND THE USE OF THE SAME (AS

AMENDED)

**CERTIFICATE UNDER 37 CFR 1.10** 

Express Mail mailing label number: EV 858800341US

Date of Deposit: September 25, 2006

I hereby certify that the papers listed below are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Abbie Larkin

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

The following papers are transmitted herewith:

Transmittal sheet, in duplicate, containing Certificate Under 37 CFR 1.10;

National Stage Patent Application including: Description - 19 pages; 10 Claims - 2 pages;

Abstract - 1 page; 9 formal drawing sheets

Unsigned Combined Declaration and Power of Attorney;

Application Data Sheet - 4 pages;

Information Disclosure Statement; Form 1449; 10 references

Claiming Small Entity

Check(s) for the amount of \$450 to cover the filing fee calculated below;

Other: Form PTO-1390, Preliminary Amendment, International Publication, Form PCT/ISA/210

Return postcard

## **CLAIMS AS AMENDED SMALL ENTITY IS CLAIMED**

Number of Claims Filed	No.	In Excess of	Extra	Rate		Fee				
Total Claims	10	20	0	50	=	0				
Independent Claims	2	3	0	200	=	0				
Multiple Dependent Claims Fee					=	0				
Basic Filing Fee					=	150				
Search Fee					=	200				
Examination Fee					=	100				
Utility Application Size Fee	22	100	0	250	=	0				
Total	1				=	\$450				

Please charge any additional fees or credit overpayment to Deposit Acount No. 50-3478. A duplicate of

this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902, Minneapolis, MN 55402-2902 612.455-3800

Name: Bryan A. Wong

Reg. No.: 50,836 Initials: BAW:rkw

(PTO TRANSMITTAL - NEW FILING)